

The construction of spectral sequences.

Three ways spectral sequences are usually constructed

double complex \rightsquigarrow filtration \rightsquigarrow exact couple

the most general one is the exact couple method.

Def.: An exact couple E is a pair (D, E) of modules, together with morphisms i, j , and k s.t.

$$\begin{array}{ccc} D & \xrightarrow{i} & D \\ \uparrow k & & \downarrow j \\ & E & \end{array}$$

is exact at each vertex of the triangle.

Def.: The derived couple $i(D) \xrightarrow{i'} i(D)$ of an exact couple

$$\begin{array}{ccc} i(D) & \xrightarrow{i'} & i(D) \\ \uparrow k' & & \downarrow j' \\ & H(E) & \end{array} \quad \begin{array}{ccc} D & \xrightarrow{i} & D \\ \uparrow k & & \downarrow j \\ & E & \end{array}$$

is obtained as follows:

$$H(E) \cong \ker(jk) / \text{im}(jk) \quad \text{as}$$

$$(jk)(jk) = j(kj)k = 0$$

$$i' = i|_{i(D)}$$

$$j'(i(d)) = [j(d)]$$

$$k'([e]) = k(e)$$

we check: ① $j(d)$ is a cycle: $\underbrace{jk}_{=0} j(d) = 0$

$$\textcircled{2} \underbrace{i(d) = i(d')} \Rightarrow j(d) - j(d') \in \text{im}(jk)$$

$$\Downarrow \\ d - d' \in \ker(i) \Rightarrow d - d' \in \text{im}(k) \Rightarrow j(d - d') \in j(\text{im}(k))$$

① & ② $\Rightarrow j'$ is well-defined

$$\textcircled{3} \forall e \in \ker(jk) \quad k(e) \in i(D) \\ \Rightarrow k(e) \in \ker(j) = \text{im}(i)$$

$$\textcircled{4} \forall e \in \text{im}(jk) \quad k(e) = 0 \\ k(jk(e')) = \underbrace{(kj)}_0 k(e') = 0$$

③ & ④ $\Rightarrow k'$ is well-defined.

Claim: \mathcal{E}' is also an exact couple.

Proof: $\text{im}(k') \subseteq \ker(i')$: $i'(k'([e])) = i k(e) = 0$

$$\text{im}(j') \subseteq \ker(i'') : j'(i(i(d))) = j(i(d)) = 0$$

$$\text{im}(j'') \subseteq \ker(k') : k'([j(d)]) = k(j(d)) = 0$$

$$\ker(i'') \subseteq \text{im}(k') : i(i(d)) = 0 \Rightarrow i(d) \in \ker(i) = \text{im}(k)$$

$$\exists e \in E \text{ s.t. } k(e) = i(d) \quad jk(e) = j(i(d)) = 0 \\ \Rightarrow k'([e]) = i(d)$$

$$\ker(j') \subseteq \text{im}(i') : j'(i(d)) = [0] \in H(E) \Rightarrow j(d) = \text{im}(jk)$$

$$j(d) = jk(e) \Rightarrow d - k(e) \in \ker(j) = \text{im}(i)$$

$$\exists d' \text{ s.t. } i(d') = d - k(e)$$

$$\text{now } i(i(d')) = i(d) - 0 \Rightarrow i(d) \in \text{im}(i')$$

$$\ker(k') \subseteq \text{im}(j') : k'([e]) = 0 \Rightarrow k(e) = 0 \Rightarrow \exists d \text{ s.t. } j(d) = e$$

$$\Rightarrow j'(i(d)) = [e]$$

We can therefore iterate the process and get the r^{th} derived couple E^r of E :

$$E^r = \begin{array}{ccc} D^r & \xrightarrow{i} & D^r \\ & \searrow k & \swarrow j^{(r)} \\ & E^r & \end{array}$$

and $D^r = i^r(D) \subseteq D$

$$E^r = H(E^{r-1})$$

i & k are induced from i & k of E

$$j^{(r)}(i^r(d)) = [j(d)]$$

We will now assume that D and E are bigraded modules,

i has bidegree $(1, -1)$

k has bidegree $(-1, 0)$

j has bidegree $(-a, a)$

Set $D'_{p,q} = i(D_{p-1, q+1}) \subseteq D_{p,q}$, $E'_{p,q}$ to be a subquotient of $E_{p,q}$.

$$\begin{array}{ccccc} D_{p,q} & \xrightarrow{j} & E_{p-a, q+a} & \xrightarrow{k} & D_{p-a-1, q+a} \\ \uparrow i & \cdots & \downarrow j' & & \\ D_{p-1, q+1} & \xrightarrow{j} & E_{p-a-1, q+a+1} & & \end{array}$$

i' has bidegree $(1, -1)$

k' has bidegree $(-1, 0)$

j' has bidegree $(-a-1, a+1)$

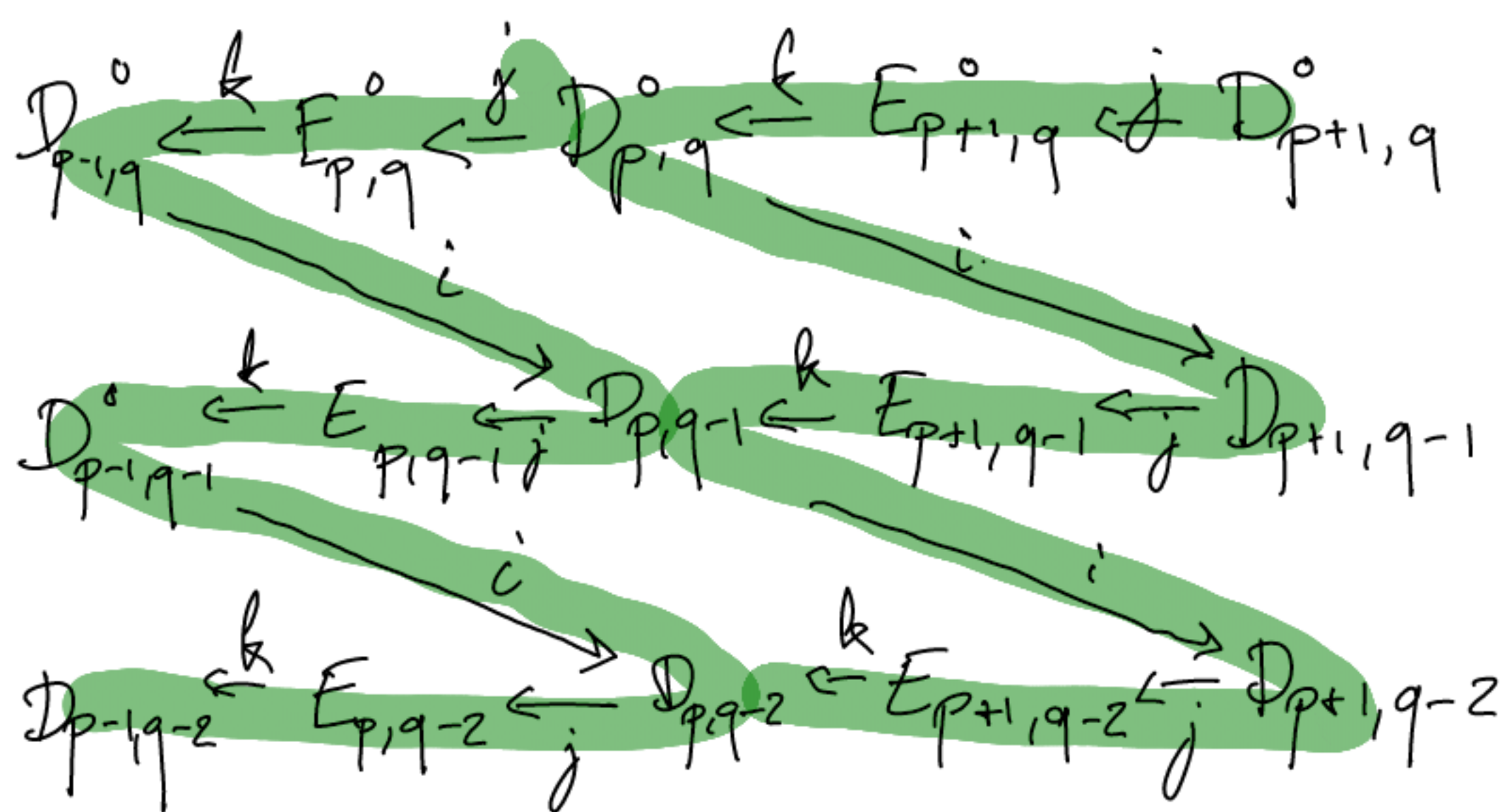
$$j'(i'(d)) = j(d)$$

We can reindex so that E^r is the $(r-a)^{\text{th}}$ derived couple of E^a so we get $\text{bideg}(j) = (-r, r)$.

Consider $d^r = j^{(r)}k : E_{p,q}^r \rightarrow E_{p-r+1, q+r}^r$. We have now constructed a spectral sequence $\{E_{p,q}^r, d^r\}$ and a morphism of exact couples now induces a morphism of spectral sequences.

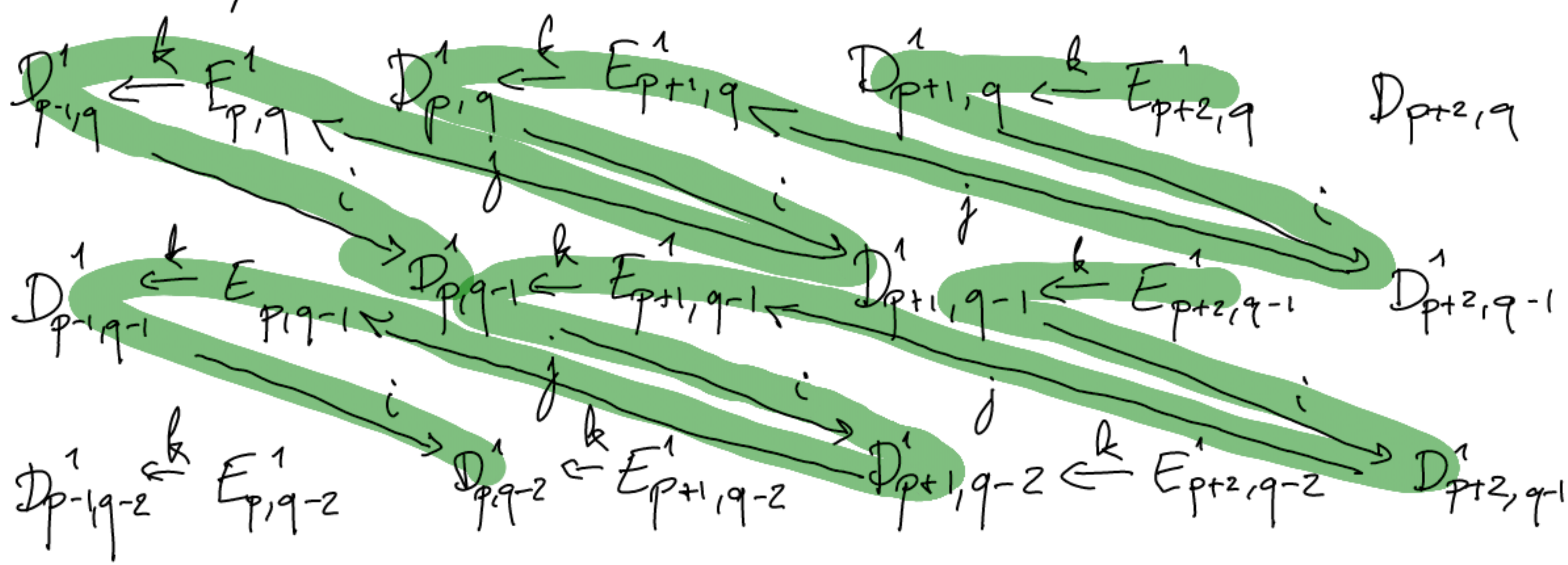
To separate the grading we may consider the following diagram

assume, that $a = 0$;



we have these LES's spliced together

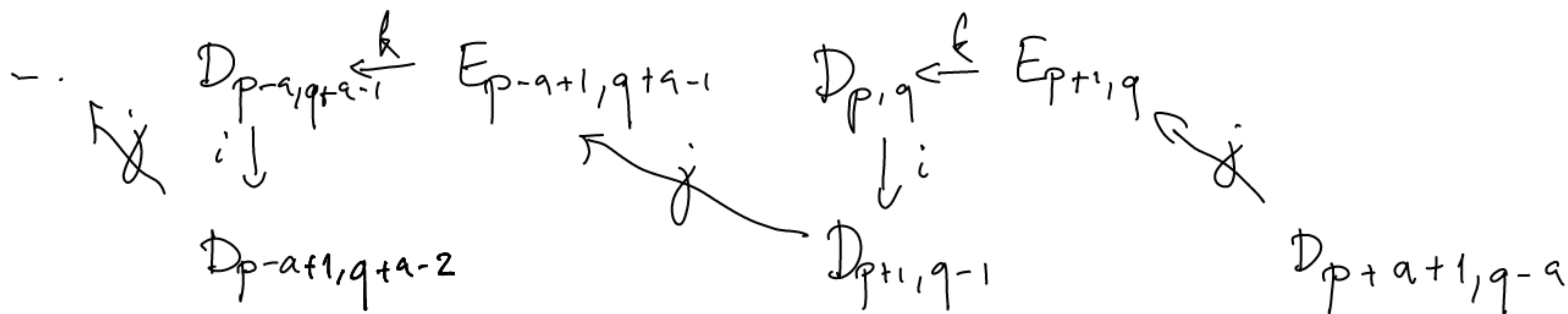
for $r = 1$



Proposition: Assume, that

$\forall n \exists f(n) \geq 0$ s.t. $\forall p, q$ ($|p| \geq f(n) \wedge p+q=n$) $\Rightarrow i: D_{p,q} \rightarrow D_{p+1, q-1}$ is an isomorphism

This means, that by exactness $k: E_{p-1, q} \rightarrow D_{p, q}$ and $j: D_{p+1, q-1} \rightarrow E_{p-a+1, q+a-1}$ are zero. Furthermore in the LES once



if $p < -N$ then the i 's to the left are all isomorphisms, if $p > N$, then all the i 's to the right are isomorphisms

this implies, that by exactness

$\forall n \exists r_0$ s.t. $\forall p, q, r$ ($r \geq r_0 \wedge p+q=n$) $\Rightarrow \left(\frac{j_{p-1, q}^r \circ k_{p, q}^r}{d_{p, q}^r} = 0 \right)$.

Therefore, $E_{p, q}^\infty = E_{p, q}^r$ for large enough r . Similarly denote $H_{p+q}^\infty = D_{p, q}$ for $p \geq f(n)$ $H_{p+q}^{-\infty} = D_{p, q}$ for $p \leq -f(n)$.

① If $\forall n H_n^{-\infty} = 0$, then $E_{p, q}^\infty \cong F_{p+q}^p / F_{p+q}^{p-1}$ where $\dots \subseteq F_{p+q}^{p-1} \subseteq F_{p+q}^p \subseteq \dots$ is a filtration of H_{p+q}^∞ by the subgroups $F_{p+q}^p = \text{im}(i^\infty: D_{p, q} \rightarrow H_{p+q}^\infty)$

② If $\forall n \ H_n^\infty = 0$, then $E_{p,q}^\infty \cong \tilde{F}_p^{p+q-1} / \tilde{F}_{p-1}^{p+q-1}$

where

$\dots \subseteq \tilde{F}_{p-1}^{p+q-1} \subseteq \tilde{F}_p^{p+q-1} \subseteq \dots$ is a filtration of $H_{p+q-1}^{-\infty}$ by the subgroups $\tilde{F}_p^{p+q-1} = \ker(H_{p+q-1}^{-\infty} \xrightarrow{i^{-\infty}} D_{p,q-1}^a)$.

Proof: Consider the exact sequence

$$\begin{array}{ccccccc}
 D_{p+r-1, q-r+1}^r & \xrightarrow{i} & D_{p+r, q-r}^r & \xrightarrow{j^{(r)}} & E_{p,q}^r & \xrightarrow{k} & D_{p-1, q}^r & \xrightarrow{i} & D_{p, q-1}^r \\
 \uparrow i^r & \cup & \uparrow i^r & & & & \uparrow i^r & \cup & \uparrow i^r \\
 D_{p-1, q+1} & \xrightarrow{i} & D_{p, q} & & & & D_{p-r-1, q+r} & \xrightarrow{i} & D_{p-r, q+r-1}
 \end{array}$$

Under assumption ① for large enough r , $D_{p-r-1, q+r} = 0$ so $D_{p-1, q}^r = 0$, and

$$\begin{aligned}
 D_{p+r-1, q-r+1}^r &= \text{im}(D_{p-1, q+1}^a \xrightarrow{i^\infty} H_{p+q}^\infty) = \tilde{F}_{p-1}^{p+q} \\
 D_{p+r, q-r}^r &= \tilde{F}_p^{p+q}
 \end{aligned}$$

$$\begin{array}{ccccccc}
 D_{p-1, q+1} & \xrightarrow{i} & D_{p, q} & \rightarrow \dots \rightarrow & D_{p+r-1, q-r+1} & \xrightarrow{i^\infty} & D_{p+r, q-r} \\
 & & \searrow i^\infty & & \cup & & \cup \\
 & & & & D_{p+r-1, q-1+r} & \xrightarrow{i^\infty} & D_{p+r, q-1}^r
 \end{array}$$

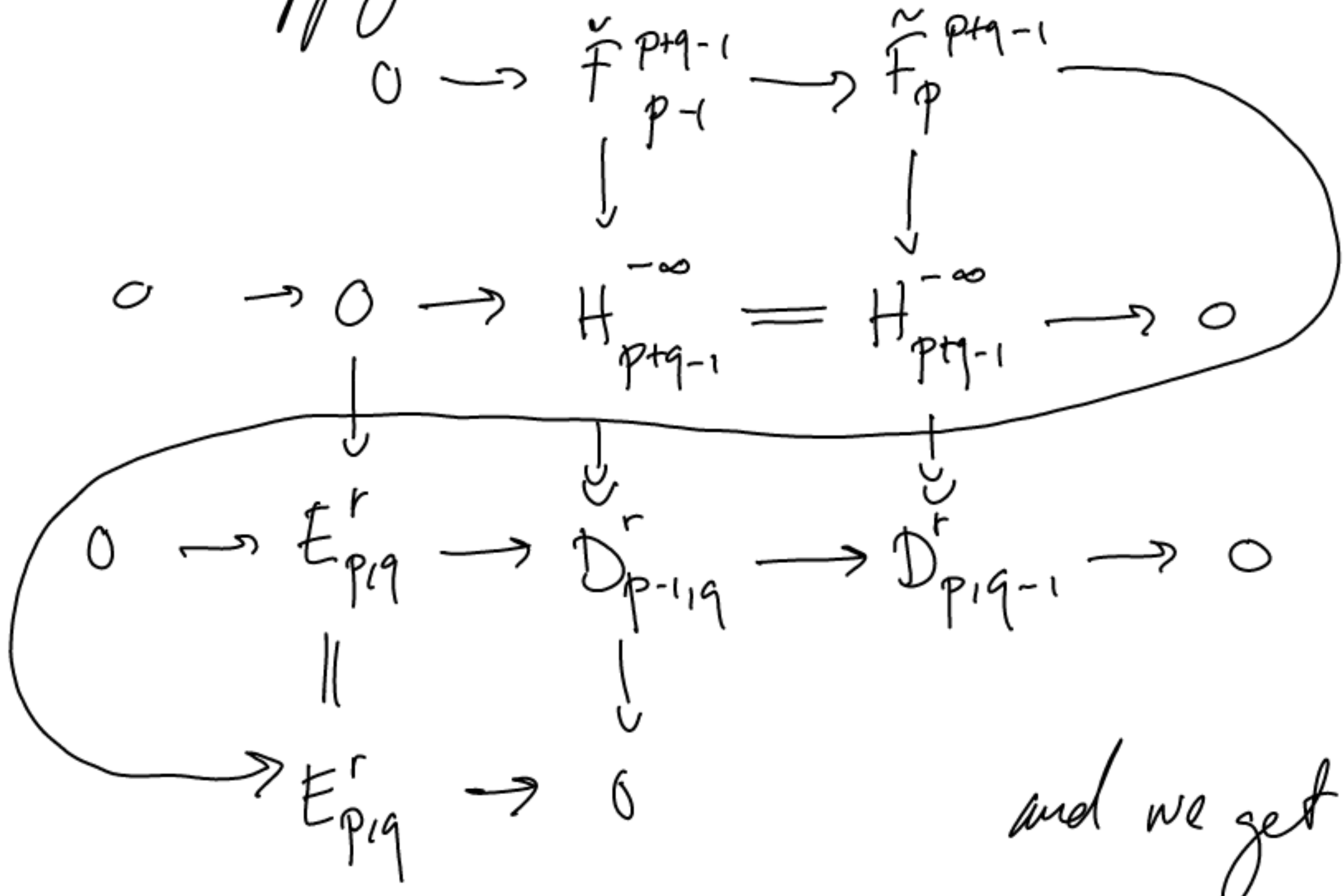
Under assumption ② for large enough r , $D_{p+r, q-r} = 0$ and

$$\begin{array}{ccccccc}
 D_{p-r-1, q+r} & \xrightarrow{i^\infty} & D_{p-r, q+r-1} & \rightarrow \dots \rightarrow & D_{p-1, q} & \rightarrow & D_{p, q-1} \\
 & & \searrow i^{-\infty} & & \cup & & \cup \\
 & & & & D_{p-1, q}^r & \xrightarrow{i^{-\infty}} & D_{p, q-1}^r
 \end{array}$$

So we get a SES:

$$0 \rightarrow E_{p,q}^r \rightarrow D_{p-1,q}^r \xrightarrow{i} D_{p,q-1}^r \rightarrow 0$$

Now we apply the Snake lemma:

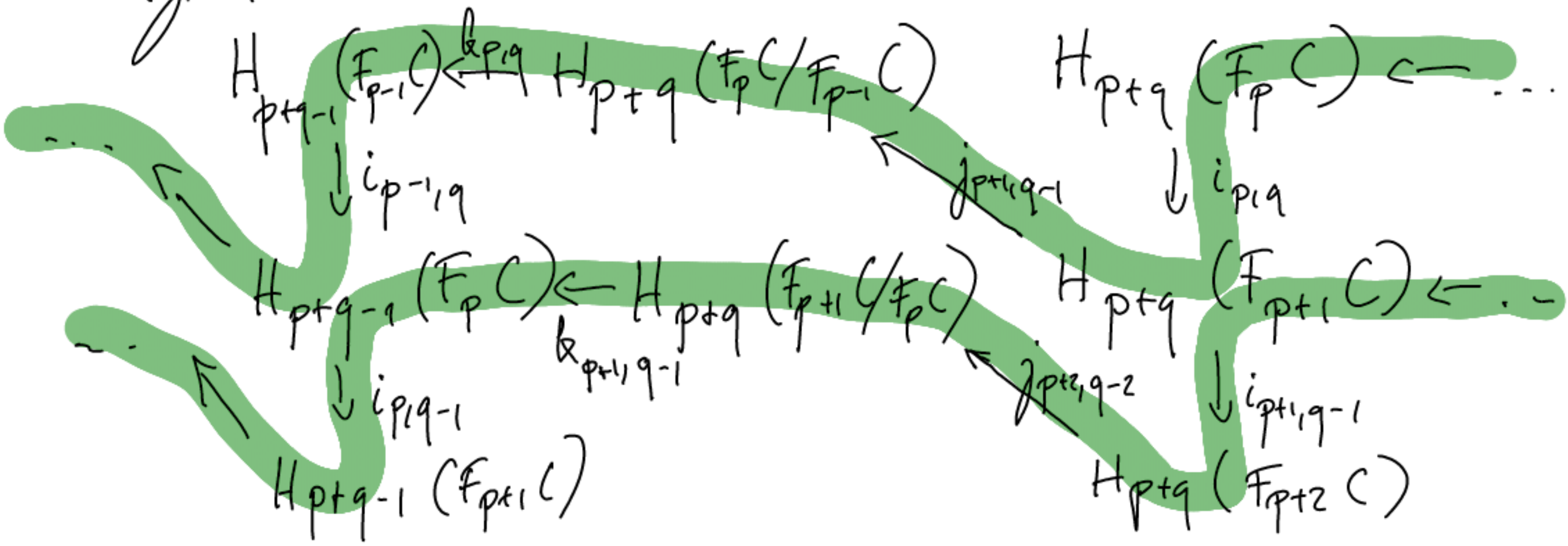


The exact couple of a filtration

Let C be a filtered chain complex and consider the bigraded homology modules

$$\begin{aligned}
 D_{p,q}^1 &\cong H_n(F_p C) \\
 E_{p,q}^1 &\cong H_n(F_p C / F_{p-1} C) \quad \text{where } n=p+q.
 \end{aligned}$$

The short exact sequences $0 \rightarrow F_{p-1} \rightarrow F_p \rightarrow F_p/F_{p-1} \rightarrow 0$ give long exact sequences on homology and we can splice into the diagram



Which we can roll up as

$$E^1: \begin{array}{ccc} \bigoplus_{p,q} H_{p+q}(F_p C) & \xrightarrow{i} & \bigoplus_{p,q} H_{p+q}(F_p C) \\ \uparrow k & & \downarrow j \\ & & \bigoplus_{p,q} H_{p+q}(F_p C / F_{p-1} C) \end{array}$$

Now assuming, that the filtration is bounded, i.e. for small enough p $F_p C = 0$, for large enough p $F_p C = C$, we get that the spectral sequence of this exact couple converges:

$$E_{p,q}^r \Rightarrow H_{p+q}(C).$$

Consider a double complex C , now we have two filtrations of $\text{Tot}(C)$ that we can consider: a vertical and a horizontal one!

Let ${}^I F_n \text{Tot}(C) = \text{Tot}({}^I \mathcal{C}_{\leq n})$ where

$$({}^I \mathcal{C}_{\leq n})_{p,q} = \begin{cases} C_{p,q} & \text{if } p \leq n \\ 0 & \text{if } p > n \end{cases}$$

so $({}^I F_n \text{Tot}(C))_m = \bigoplus_{\substack{p+q=m \\ p \leq n}} C_{p,q}$.

The spectral sequence of this is ${}^I E_{\cdot, \cdot}$.

$${}^I E_{p,q}^0 \cong ({}^I F_p \text{Tot}(C))_{p+q} / ({}^I F_{p-1} \text{Tot}(C))_{p+q} \cong \left(\bigoplus_{\substack{a+b=p+q \\ a \leq p}} C_{a,b} \right) / \left(\bigoplus_{\substack{a+b=p+q \\ a \leq p-1}} C_{a,b} \right) \cong C_{p,q}$$

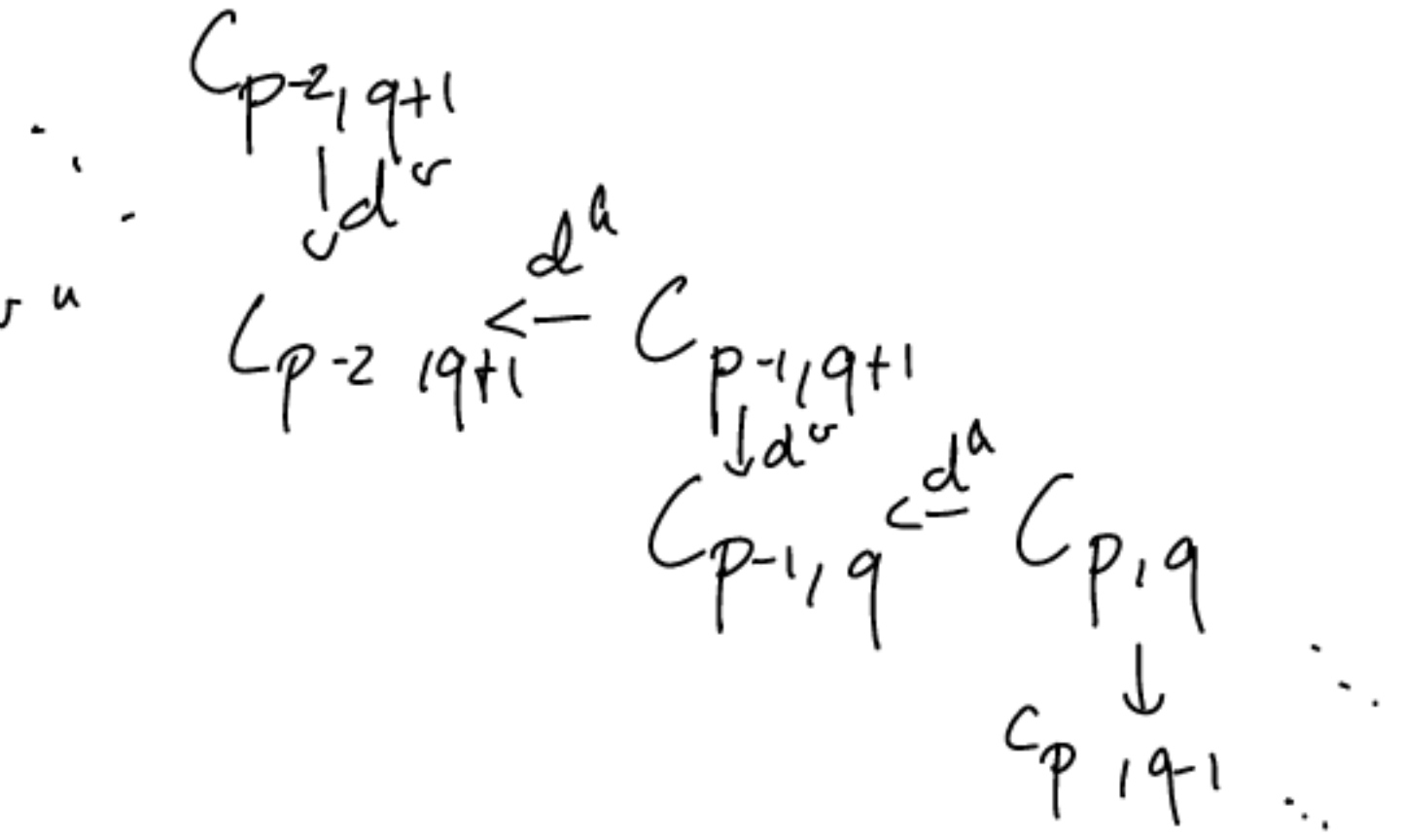
the d^0 differentials are exactly those that are induced by

$$d^0: C_{*,0} \rightarrow C_{*-1,0}$$

let us consider what are the d^1 differentials:

$$d^1: H_q^0(C_{p,*}) \rightarrow H_q^0(C_{p-1,*})$$

now we have quotiented out $d^0 + d^1$ by d^0
so we are left with d^1 !

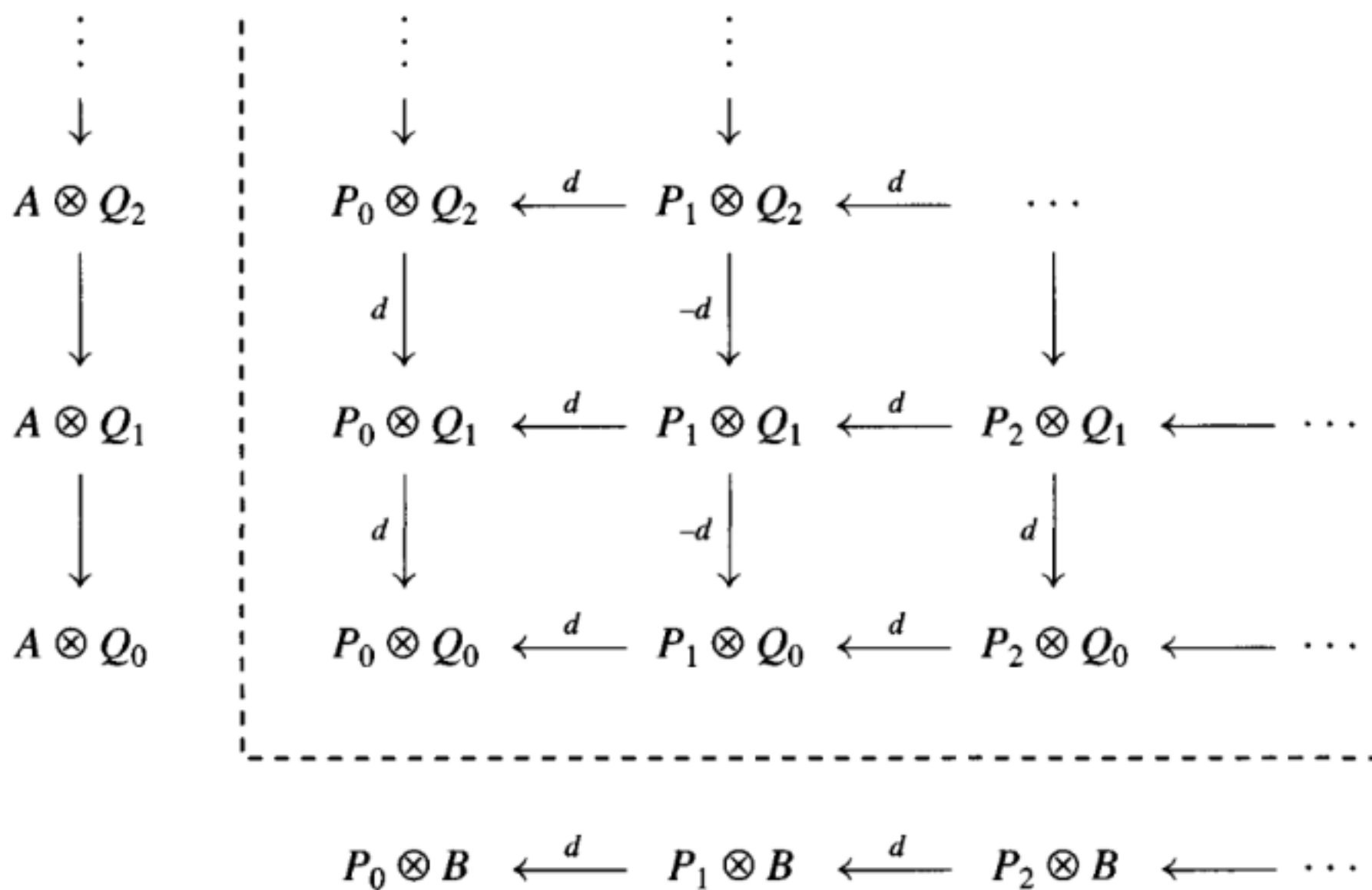


We can similarly take the horizontal filtration yielding ${}^{\text{II}}E_{p,q}$ with ${}^{\text{II}}E_{p,q}^0 = C_{q,p}$ and ${}^{\text{II}}E_{p,q}^1 = H_q^h(C_{*,p})$.

Balancing Tor

let $P_* \rightarrow A$ be a projective resolution of A and $Q_* \rightarrow B$ a projective resolution of B .

We get a double complex $C_{*,*}$ via the sign tricks



Since each Q_i and P_i is projective tensoring with them is exact:

$$I E_{P, q}^2 \cong \begin{cases} H_p^u(P \otimes B) = L_p(- \otimes B)(A) & \text{if } q=0 \\ 0 & \text{otherwise} \end{cases}$$

$$II E_{P, q}^2 \cong \begin{cases} H_p^v(A \otimes Q) = L_p(A \otimes -)(B) & \text{if } q=0 \\ 0 & \text{otherwise} \end{cases}$$

$$\Rightarrow \text{Tor}_p^R(A, B) \cong L_p(- \otimes B)(A) \cong L_p(A \otimes -)(B)$$

Base-change for Tor

Theorem: Let $f: R \rightarrow S$ be a ring map. Then there is a first quadrant homology spectral sequence

$$E_{p, q}^2 \cong \text{Tor}_p^S(\text{Tor}_q^R(A, S), B) \Rightarrow \text{Tor}_{p+q}^R(A, B)$$

$\forall A \in \text{Mod}_R, B \in S\text{Mod}$.

Proof: Let $P. \rightarrow A$ be a projective resolution of A in Mod_R .

Let $Q. \rightarrow B$ be a projective resolution of B in $S\text{Mod}$.

We have a restriction of scalars functor $f^*: S\text{Mod} \rightarrow R\text{Mod}$

so we have a double complex of abelian groups

$$P. \otimes_R Q. := P. \otimes_R f^* Q.$$

We write $H_*(P \otimes_R Q)$ for $H_*(\text{Tot}(P. \otimes_R f^*(Q.)))$.

Since $P \otimes -$ is exact $I E_{p, q}^1 \cong H_p^v(P \otimes_R f^*(Q.))$ furthermore, f^* is exact yielding

$$H_*(P \otimes_R f^*(B)) \cong \text{Tor}_*^R(A, B).$$

Hyperhomology

Def.: Let \mathcal{A} be an abelian category with enough projectives. A (left) Cartan-Eilenberg resolution $P_{*,*}$ of a chain complex $A_* \in \text{Ch}(\mathcal{A})$ is an upper half-plane double complex of projectives together with an augmentation $\varepsilon: P_{*,0} \rightarrow A_*$ such that for every p ,

$$\textcircled{1} A_p = 0 \Rightarrow P_{p,*} = 0 \quad \forall *$$

$$\textcircled{2} B_p(\varepsilon): B_p(P, d^h) \rightarrow B_p(A) \quad (\text{boundaries})$$

$$H_p(\varepsilon): H_p(P, d^h) \rightarrow H_p(A)$$

are projective resolutions in \mathcal{A} .

Lemma: Every chain complex has a Cartan-Eilenberg resolution.

Def.: Let $f, g: D \rightarrow E$ be two maps of double complexes. A chain homotopy from f to g consists of maps $s_{p,q}^h: D_{p,q} \rightarrow E_{p+1,q}$ and $s_{p,q}^v: D_{p,q} \rightarrow E_{p,q+1}$ so that

$$g - f = (d^h s^h + s^h d^h) + (d^v s^v + s^v d^v)$$

$$s^v d^h + d^h s^v = s^h d^v + d^v s^h = 0.$$

\Rightarrow now $s^h + s^v: \text{Tot}(D)_n \rightarrow \text{Tot}(E)_{n+1}$ forms a chain homotopy.

Def.: Let $F: \mathcal{A} \rightarrow \mathcal{B}$ be right exact and assume, that \mathcal{A} has enough projectives. Let $A \in \text{Ch}(\mathcal{A})$ and $P_{*,*} \rightarrow A$ a Cartan-Eilenberg resolution. Define $H_i F(A)$ to be $H_i \text{Tot}^\oplus(F(P_{*,*}))$.

We get a functor this way $H_i F: \mathcal{A}(A) \rightarrow \mathcal{B}$ called the i^{th} left hyper-derived functor of F . (Assuming \mathcal{B} is cocomplete.)

We may dually define the right hyper-derived functor of a left exact functor.

We get the following spectral sequences arising from the double cochain complex $F(I)$, where $A \rightarrow I_{\bullet}$ is an injective resolution of A , $\in \mathcal{A}_{20}(\mathcal{A}^{\text{op}})$ (we need boundedness)
 (weakly convergent) $\text{II } E_2^{p,q} \cong (R^p F)(H^q(A)) \Rightarrow R^{p+q} F(A) = H^{p+q}(\text{Tot}^{\pi}(F(A)))$
 $\text{I } E_2^{p,q} \cong H^p(R^q F(A)) \Rightarrow R^{p+q} F(A)$.

Grothendieck spectral sequences

Let $\mathcal{A}, \mathcal{B}, \mathcal{C}$ be abelian categories such that both \mathcal{A} and \mathcal{B} have enough injectives. Let $G: \mathcal{A} \rightarrow \mathcal{B}$ and $F: \mathcal{B} \rightarrow \mathcal{C}$ be left exact functors.

Def: Let $F: \mathcal{B} \rightarrow \mathcal{C}$ be a left-exact functor. $B \in \mathcal{B}$ is called F -acyclic if $\forall i \neq 0 \quad R^i F(B) = 0$.

Theorem: In the above setup, suppose, that G sends injectives to F -acyclic objects. Under this assumption, there is a convergent

first quadrant cohomological spectral sequence for all $A \in \mathcal{A}$:

$$I E_{2}^{p,q} \cong (R^p F)(R^q G)(A) \Rightarrow R^{p+q}(FG)(A).$$

Proof:

Choose an injective resolution $A \rightarrow I$ of A in \mathcal{A} , apply G to get $G(I)$ a cochain complex in \mathcal{B} .

Using a first quadrant Cartan-Eilenberg resolution of $G(I)$, form the hyper derived functors $R^q FG(I)$. Now we get two spectral sequences:

The first: $I E_{2}^{p,q} = H^p(\underbrace{R^q F(G(I))}_{\parallel \forall q \neq 0}) \Rightarrow (R^{p+q} F)(G(I))$

$$\Rightarrow (R^p F)(G(I)) \cong H^p(FG(I)) = R^p(FG)(A).$$

The second: $II E_{2}^{p,q} = (R^p F)(H^q(G(I))) \Rightarrow (R^{p+q} F)(G(I)) \cong R^p(FG)(A)$

Since $H^q(G(I)) = R^q G(A)$ we get the Grothendieck

s. seq. \square

The Leray spectral sequence

Let $f: X \rightarrow Y$ be a map of topological spaces. Let $\text{Sh}(X) = \{F: X_{\text{zar}}^{\text{op}} \rightarrow \text{Ab} \mid F \text{ is a sheaf}\}$.

$$f_*: \text{Sh}(X) \rightarrow \text{Sh}(Y) \quad f: Y_{\text{zar}} \rightarrow X_{\text{zar}}$$

$$\text{PSh}(X) = \text{Ab}^{X_{\text{zar}}^{\text{op}}} \xrightarrow{f_*} \text{Ab}^{Y_{\text{zar}}^{\text{op}}} = \text{PSh}(Y) \quad \forall U \subseteq Y \text{ open} \mapsto f^{-1}(U) \subseteq X \text{ open}$$

sheafification is left adjoint, so left Kan extension along f composed

with sheafification provides a left adjoint

$$\begin{array}{ccc}
 f^{-1}: \mathcal{S}h(Y) & \longrightarrow & \mathcal{S}h(X) \\
 \downarrow & & \uparrow \text{ass} \\
 \mathcal{P}Sh(Y) & \xrightarrow{\text{Lan}_f} & \mathcal{P}Sh(X)
 \end{array}$$

f^{-1} is exact: the pointwise Kan-extension formula which provides it is a filtered colimit hence exact, see Theorem 2.6.15.

Thus f_* is left exact & preserves injectives.

Let $F \in \mathcal{S}h(X)$, we have

$$\begin{array}{ccc}
 \mathcal{S}h(X) & \xrightarrow{f_*} & \mathcal{S}h(Y) \\
 \pi \searrow & & \swarrow \pi \\
 & \text{Ab} &
 \end{array}$$

The Grothendieck spectral sequence now yields the Leray spectral sequence:

$$E_2^{p,q} = H^p(Y; R^q f_* F) \Rightarrow H^{p+q}(X; F)$$

where recall that $H^q(Y; G) = R^q \Gamma(G)$.