

# Del Pezzo Surfaces

Recall the classification of Algebraic Curves:

genus	0	1	$\geq 2$
Canonical sheaf $\mathcal{W}_X$	anti-ample	trivial $(\mathcal{O}_X)$	ample

Similarly, we may classify higher-dimensional varieties by how ample  $\mathcal{W}_X$  is.

## Kodaira Dimension

NB// Throughout, define a "nice" variety to be smooth, projective and geometrically integral.

Let  $X$  be a nice variety over a field  $k$ .

We associate to  $X$  an integer

$$\kappa = \kappa(X) \in \{-\infty, 0, 1, \dots, \dim X\}$$

Called the Kodaira dimension of  $X$ .

Let  $\mathcal{W}_X$  be the canonical sheaf

Case 1:

$$H^0(X, \mathcal{W}_X^{\otimes m}) = 0 \quad \forall m \in \mathbb{Z}_{\geq 1}$$

global sections  $\rightarrow$

$$\text{Then define } \kappa(X) = -\infty$$

Case 2:

$$H^0(X, \mathcal{W}_X^{\otimes m}) \neq 0 \text{ for some } m \in \mathbb{Z}_{\geq 1}$$

If  $m$  is s.t.  $H^0(X, \mathcal{W}_X^{\otimes m}) \neq 0$  then a choice of basis of global sections defines a rational map

$$\phi_m: X \dashrightarrow \mathbb{P}^N$$

for some  $N$  depending on  $m$ .

$\phi_m$  is defined on an open subscheme  $U_m$  of points at which the global sections generate  $\mathcal{W}_X^{\otimes m}$

Let  $\overline{\phi_m(X)}$  be the Zariski closure of  $\phi_m(U_m)$  in  $\mathbb{P}^N$ .

Then for  $m > 1$  sufficiently large and divisible,  $\overline{\phi_m(X)}$  is independent of  $m$  up to birat. equiv.

We let  $K(X)$  be its dimension, i.e.

$$K := \max_m \dim \overline{\phi_m(X)}$$

e.g. (curves)

Let  $X$  be a nice genus  $g$  curve. Then!

$$g=0 \Rightarrow K(X) = -\infty$$

$$g=1 \Rightarrow K(X) = 0$$

$$g=2 \Rightarrow K(X) = 1$$

Prop 9.12.

Kodaira dimension is ~~stable under~~ invariant under  
birationally equivalent and base-extension.

↳ can skip

Def<sup>n</sup>

If  $K(X) = \dim(X)$  (The maximum possible)  
then call  $X$  general type

e.g.

If  $\omega_X$  is ample then  $X$  is of general type.

Warning! The converse is not true in general.

## Notions of Rationality

Def<sup>n</sup>

$X$  an  $n$ -dim integral variety over alg. closed fld.  $k$ .

•  $X$  rational if  $X$  is birational to  $\mathbb{P}^n$

•  $X$  stably rational if  $\exists m \in \mathbb{N}$  s.t.  $X \times \mathbb{P}^m$   
is rational

•  $X$  unirational if  $\exists$  dominant rational map  
 $\mathbb{P}^N \dashrightarrow X$  for some  $N \geq 0$ .

All of the above are examples of  $K(X) = -\infty$ .

An important notion in the context of rational points  
is the notion of rationality connected.

↳ say out loud:

Vaguely: Rationality connected =  $\exists$  a family  
of rational curves s.t. for almost every  
pairs of points on the variety, there is a curve in  
the family joining them. ↴

Def<sup>n</sup> A rational curve in  $X$  is a rational  
map  $f: \mathbb{P}^1 \dashrightarrow X$  (Equivalently, a morphism)

We say that  $x, x' \in X(K)$  are joined by a rational curve if there is a rat. curve  $f$  such that  $x, x' \in f(P')$

An Algebraic family of rational curves parametrised by a base variety  $B$  is a rational map  $F: B \times P^1 \dashrightarrow X$

This is a family in the sense that for (almost) every  $b \in B(K)$  the restriction of  $F$  to  $\{b\} \times P^1$  is a rational map  $P^1 \dashrightarrow X$ .

Given such a family, the pairs of points that it joins are the pairs of the form  $(F(b, t), F(b, t'))$  for some  $b \in B(K)$ ,  $t, t' \in P^1(K)$ .

Def<sup>n</sup>

The variety  $X$  is rationally connected if there is a variety  $B$  and rational map

$F: B \times P^1 \dashrightarrow X$  s.t. the rational map  $B \times P^1 \times P^1 \dashrightarrow X \times X$

$(b, t, t') \mapsto (F(b, t), F(b, t'))$

is dominant.

i.e. a dense subset of pairs  $(x, x')$  in  $X \times X$  are

can be joined by a rational curve:

Prop:

If  $X$  is rat. Connected then any general pair of points can be joined by a rational curve:

i.e. there is a dense open subset  $U$  of  $X \times X$  s.t. any pair  $(x, x') \in U(K)$  can be joined by a rational curve. The converse holds if  $K$  is uncountable.

## Fano Varieties

Let  $X$  be a nice variety over a field  $K$ ,  $\mathcal{W}_X$  its canonical sheaf.

Def<sup>n</sup>

$X$  is Fano if  $\mathcal{W}_X^{\otimes(-1)}$  is ample

e.g. If  $X = P^n$  then  $\mathcal{W}_X^{\otimes(-1)} \cong \mathcal{O}(n+1)$  so  $X$  is Fano.

e.g. If  $X$  is a nice curve, a Lieberman  $X$  is ample iff  $\deg \mathcal{L} > 0$ , and  $\deg(\mathcal{W}_X^{\otimes(-1)}) = 2 - 2g > 0$  iff  $g = 0$ .

e.g.

A nice hypersurface of degree  $d$  in  $\mathbb{P}^n$  is Fano  
iff  $d \leq n$

Prop:

Fano is invariant under ~~field~~ base extension.

### Some Implications

Fano



Rational  $\Rightarrow$  Severi Rational  $\Rightarrow$  Unirational  $\Rightarrow$  Rationally  
Connected



$K = -\infty$

Let  $X$  be Fano. let  $m = \dim X$ . let  $K$  be  
a canonical divisor on  $X$ .

If  $-K$  is very ample then the complete linear  
system  $| -K |$  embeds  $X$  as a subvariety of  
some  $\mathbb{P}^n$ .

The degree of the subvariety is the number of points  
(counted with multiplicity) resulting from cutting

it with  $m$  general hyperplanes in  $\mathbb{P}^n$ .

Equivalently, the degree equals the self  
intersection number of  $(-K)^m$  on  $X$ .

In global,  $-K$  is only ample, but some  
positive multiple is very ample, so  $(-K)^m$  is  
still positive, so define the degree of  
 $X$  to be  $(-K)^m$ .

### Warning!

Fano is not invariant under birational maps!

### Non-closed ground fields

Let  $K$  be non-algebraically closed.

$X$  a nice  $K$ -variety.

$X$  is called adjective if  $X_K$  is adjective.

If a property holds over  $K$  we use a prefix  
 $K$ -

### Conjecture (Colliot-Thélène)

Let  $X$  be nice variety /  $\#$ fld.  $K$ .

Suppose  $X$  is rationally connected. Then the  
Brauer-Manin obstruction is the only obstruction  
to the local-global principle.

## § Del Pezzo Surfaces: Generalities

Def<sup>n</sup>

A del Pezzo surface is a (nice) Fano variety of dimension 2.

According to the general def<sup>n</sup> for Fano's, the degree of a dP surface  $X$  is a positive integer  $d := (-K) \cdot (-K) = K \cdot K$

It turns out that  $\dim H^0(X, -K) = d + 1$  and that  $-K$  is very ample for  $d \geq 3$ .

Thus if  $d \geq 3$ ,  $| -K |$  embeds  $X$  as a degree  $d$  surface in  $\mathbb{P}^d$ .

Shorthand: "del Pezzo surface of degree  $d$ " = "dP $_d$ "  
e.g. dP $_3$  or dP $_6$

### Over Separably Closed Fields: Classification

Def<sup>n</sup> Let  $0 \leq r \leq 8$ . Points  $P_1, \dots, P_r \in \mathbb{P}^2(k)$  are in general position if they are distinct and none of the following conditions hold:

- i) 3  $P_i$  lie on a line
- ii) 6  $P_i$  lie on a conic
- iii) 8  $P_i$  lie on a singular cubic with 1  $P_i$  at the singularity.

### Theorem (Classification of dP Surfaces)

Let  $K$  be a separably closed field.  
Let  $X$  be a dP surface over  $K$ .

Then exactly one of the following holds:

- $X \cong \mathbb{P}^1 \times \mathbb{P}^1$ ; then  $\deg X = 8$
- $\exists r$  with  $0 \leq r \leq 8$  s.t.  $X$  is the blowup of  $r$   $K$ -points in general position.

Then  $\deg X = 9 - r$ .

Notably: dP surfaces are rational!

### Remark

If  $X$  is as above, then  $\text{Pic } X \cong \mathbb{Z}^{10-d}$ :

This is true automatically for  $X \cong \mathbb{P}^2$  or  $X \cong \mathbb{P}^1 \times \mathbb{P}^1$  and blowing up a  $K$ -point adds a factor of  $\mathbb{Z}$  corresponding to the divisor class generated by the class of the exceptional curve.

One can also explicitly describe the canonical class and the intersection pairing on  $\text{Pic } X$ .  
Write a suitable basis.



Degree 8

Let  $X$  be dP8 over  $K$ . Then exactly one of the following holds:

1) There is a degree 2 étale ext.  $L \supseteq K$  and a nice conic cover  $L$  s.t.  $X$  is isomorphic to the restriction of scalars  $\text{Res}_{L/K} C$ .

(In the split case  $L = K \times K$ , this just means that  $X$  is the product of 2 nice conics over  $K$ )

2)  $X$  is the blowup of  $\mathbb{P}^2_K$  at a  $K$ -point.

Corollary:

Skip is short on time

If  $\dim K \leq 1$ , any degree 8 dP surface  $X$  over  $K$  has a  $K$ -point.

Proof: 2) is obvious

1) Since  $\dim K \leq 1$ , we have  $\text{Br} L = 0$

Since  $C$  is 1-dim Severi-Brauer variety over  $L$ , it has an  $L$ -point

Finally,  $X(K) = C(L)$ .  $\square$

Corollary: Let  $X$  be dP8 over  $K$ . If  $X$  has a  $K$ -point then  $X$  is birational to  $\mathbb{P}^2_K$

2) is obvious.

1) If  $X$  has a  $K$ -point then  $C$  has an  $L$ -point.

So  $C \cong \mathbb{P}^1_L$ .  $\mathbb{P}^1_L$  is birational to  $A^1_L$ .

So  $X$  is birational to  $\text{Res}_{L/K} A^1_L \cong A^1_K$

$A^1_K$  is birational to  $\mathbb{P}^1_K$ .  $\square$

Corollary

dP8 over global  $K$  satisfies local-global.

Proof:

If  $X = \text{Res}_{L/K} C$ , apply local-global to  $C$ , since conics satisfy local-global.

If  $X = \text{Bl}_K \mathbb{P}^2_K$  then  $X$  has a  $K$ -point already!

Degree 7

~~Ad~~ dP7 is  $\mathbb{P}^2_K$  blown up at either 2  $K$ -points or at a closed pair whose residue field is separable of degree 2 over  $K$ .

Degree 6

Let  $X$  be dP6 over  $K$ . If  $\exists$  separable ext.  $K, L$  with  $[K:k] = 2$  and  $[L:k] = 3$  s.t.  $X$  has a  $K$ -point and an  $L$ -point, then  $X$  has a  $k$ -point.

Prop

Let  $X$  be dPB over  $K$ . If  $\dim K = 1$  or  $K$  is global and  $X(A) \neq \emptyset$ , then  $X$  has a  $K$ -point.

Prop

Let  $X$  be dPB over  $K$ . If  $X$  has a  $K$ -point then  $X$  is birational to  $\mathbb{P}_K^2$ .

Degree 5

Every dPS has a  $K$ -point and is birational to  $\mathbb{P}_K^4$ .

Now on to the lower degrees not covered by the main theorem...

Degree 4

These  $X$  are the intersection of 2 quadrics in  $\mathbb{P}^4$ .

If  $K$  is a global field, then local-global can fail!

Weak approximation can fail even if  $X$  has a  $K$ -point.

Degree 3

Perhaps some of the most well-studied examples. These are nice cubic surfaces ~~over  $K$~~  in  $\mathbb{P}^3$ .

Mordell (falsely) conjectured that over  $\mathbb{Q}$ , these satisfy local-global.

Thm (Selmer):

$$ax^3 + by^3 + cz^3 + dw^3 = 0 \subset \mathbb{P}_0^3$$

$$a, b, c, d \in \mathbb{Z} \setminus \{0\}$$

Satisfies local-global if  $ab = cd$  or  $|abcd| \leq 500$ .

Counterexample (Lassels, Guy)

$$5x^3 + 9y^3 + 10z^3 + 12w^3 = 0$$

violates local-global.

Also: W.A. can fail even if  $X$  is minimal and has a rat. point.

Degree 2

Now  $w_x^{\otimes -1}$  is no longer very ample!

The anticanonical map here is a degree 2 morphism  $X \rightarrow \mathbb{P}^2$  ramified along a smooth curve of degree 4 in  $\mathbb{P}^2$ .

i.e.  $X$  is of degree 4 in a weighted projective space  $\mathbb{P}(1, 1, 1, 2)$

If  $K$  is a global field, then  $X$  may violate local-global

e.g. (Kresch - Tschinkel)

$$w^2 = -6x^4 - 3y^4 + 2z^4$$

The anticanonical map is something like

$$[w : x : y : z] \mapsto [x : y : z]$$

which is degree 2 since you can also send

$$[-w : x : y : z] \mapsto [x : y : z]$$

The morphism ramifies on the curve

$$-6x^4 - 3y^4 + 2z^4 = 0 \subset \mathbb{P}^2$$

Since here each point has only one pre-image.

Also, Coliot-Thélène observed that one can obtain a counterexample by replacing  $z^2$  by  $z^4$  in Iskovskikh's surface (see Lewis' talk):

$$y^2 = -z^4 + (3w^2 - x^2)(x^2 - 2w^2)$$

Here, weak approx. can fail even if  $X$  is minimal and has a  $k$ -point.

Remark

So far, every example of a dP violating local-global can be explained by a BM obstruction.

Degree 1

$X$  is dP1, is a degree 6 surface in WPS  $\mathbb{P}(1, 1, 2, 3)$

The common zero locus of any basis  $S_1, S_2$  of the 2-dim space  $H^0(X, -K)$  is independent of choice of basis.

This locus consists of a single degree 1 point since  $(-K) \cdot (-K) = 1$ .

i.e. the intersection of any 2 distinct divisors in  $| -K |$  is a canonical  $k$ -point!

Thus  $X(k) \neq \emptyset$ .

There still might be a BM obs. to W.A. even if  $X$  is minimal.

See Poonen Section 9.4.12. for a table summarizing what is known

### Quantitative Conjectures/Results

Manin's Conjecture (Sowle: Browning QAPV)

Let  $S$  be a non-singular dP surface over  $\mathbb{Q}$ .

Let  $\text{Pic}(S) = \text{Pic}_{\mathbb{Q}}(S)^{\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})}$

$\rho_S = \text{rk Pic}(S)$

Let  $U \subset S$  be the Zariski open subset formed by removing from  $S$  the lines defined over  $\mathbb{Q}$ .

Conjecture (Manin)

Let  $S$  be a degree  $d$  dP surface with 3 s.d.s

Suppose  $S(\mathbb{Q}) \neq \emptyset$ . Then

$$N_d(B) = \#\{x \in U(\mathbb{Q}) : H(x) \leq B\} = C_S B(\log B)^{\rho_S + 1} + o(B(\log B)^{\rho_S + 1})$$

Here,  $H$  is the anti-canonical height.

$C_S$ : Conjectured by Peyre.

### Degree 3

For non-singular cubic surfaces, the best known upper bound is

$$N_d(B) = O_{\epsilon, S}(B^{4/3 + \epsilon})$$

(Heath-Brown)

Which applies to any non-singular cubic surface  $S$  containing 3 coplanar lines over  $\mathbb{Q}$ .

e.g. Fermat cubic:

$$x_1^3 + x_2^3 + x_3^3 + x_4^3 = 0$$

Containing the lines:

$$\bullet x_1 + x_2 = x_3 + x_4 = 0$$

$$\bullet x_1 + x_3 = x_2 + x_4 = 0$$

$$\bullet x_1 + x_4 = x_2 + x_3 = 0$$

lying in the plane

$$x_1 + x_2 + x_3 + x_4 = 0$$

The lower bound of the correct order of magnitude, is known due to Sogus '14:  
Force format cubic.

$$N_n(B) \gg B(\log B)^3$$

Manin's conjecture has never been proved for any non-singular cubic!

It has been resolved for a number of ~~non-singular~~ cubics.

Degree 4: non-singular  
Manin's conjecture proven for  $dP^4$ :

Theorem (Browning - de-la-Bre che)

$SCP^4$  given by

$$\mathbb{Q}(x_1, \dots, x_5) : x_1 x_2 - x_3 x_4 = 0$$

$$x_1 x_2 - x_3 x_4 = 0$$

$$x_1^2 + x_2^2 + x_3^2 - x_4^2 - 2x_5^2 = 0$$

$$\text{Then } N_n(B) = C_5 B(\log B)^{g_5-1} \left( 1 + O\left(\frac{1}{\log \log B}\right) \right)$$

Like  $g_5 = 5$ .

Hrush-Born's cubic bound can also be adapted to  $dP^4$

Degree  $\geq 6$

Non-singular  $dP^6, dP^7, dP^8, dP^9$  are toric varieties.  
Manin's conjecture proven for all toric varieties by Batyrev - Tschinkel.

How often does one see B-M obscuration

This is a relatively new area of study, with a small amount of progress.

Q: Given a family of varieties, can you find an asymptotic formula for ~~how~~ how many up to height  $B$  have a Brauer-Manin obscuration?

Studied by:

K3 Surfaces

- Santens '23
- Gvirtz-Chen, Longhin, Nakahara '22

Ch atelet Surfaces

- de-la-Bre che, Browning '14
- Pome '19

dP surfaces:

- Taheri, Schindler '16
- Mitrani, Salgado '22
- Shaw '24.

So far, not enough evidence to form a conjecture, but for example, the theorem of Harry Shaw for diagonal dP2 is as follows:

$$\text{Let } S_{\underline{a}}: a_0 x_0^4 + a_1 x_1^4 + a_2 x_2^4 = w^2$$

$$\subseteq \mathbb{P}^2(1,1,1,2)$$

where  $\underline{a} \in \mathbb{Z} \setminus \{0\}$  be a family of diagonal dP2s

Theorem (Shaw '24)

$\exists$  a constant  $A > 0$  s.t.

$$\# \left\{ \underline{a} \in (\mathbb{Z} \setminus \{0\})^3 : |a_i| \leq T \ \forall i \in \{0,1,2\} \right. \\ \left. S_{\underline{a}} \text{ has BM obs. } \infty \right. \\ \left. \text{local-global} \right\}$$

$$\sim A (T \log T)^{3/2}$$