

# Homological Dimension

## Motivation

- Give several definitions of dimensions of rings/modules
- Measure how far a ring is from being semisimple
- Applications to Algebraic Geometry

## 4.1 Dimensions

Def Let  $A \in \text{Mod-}R$ .

- The projective dimension  $\text{pd}(A)$  of  $A$  is the minimum  $n$  s.t.  
 $0 \rightarrow P_n \rightarrow \dots \rightarrow P_1 \rightarrow P_0 \rightarrow A \rightarrow 0$  is a projective resolution.
- The injective dimension  $\text{id}(A)$  of  $A$  is the minimum  $n$  s.t.  
 $0 \rightarrow A \rightarrow E^0 \rightarrow E^1 \rightarrow \dots \rightarrow E^n \rightarrow 0$  is an injective resolution.
- The flat dimension  $\text{fd}(A)$  of  $A$  is the minimum  $n$  s.t.  
 $0 \rightarrow F_n \rightarrow \dots \rightarrow F_1 \rightarrow F_0 \rightarrow A \rightarrow 0$  is a flat resolution.  
(These are allowed to be infinite)

## Ex

- $\dots \rightarrow 0 \rightarrow \mathbb{Z}^p \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}/p\mathbb{Z} \rightarrow 0$  is a projective resolution.  
 $\hookrightarrow$  Can be shown this is of minimal length  
 $\Rightarrow \text{pd}(\mathbb{Z}/p\mathbb{Z}) = 1$ .
- Let  $P$  be a projective  $R$ -module.  
 $\dots \rightarrow 0 \rightarrow P \xrightarrow{id} P \rightarrow 0$  is a projective resolution  $\Rightarrow \text{pd}(P) = 0$ .

## Rmk

Projective  $\Rightarrow$  flat (every projective resolution is a flat resolution)  
so  $\text{fd}(A) \leq \text{pd}(A)$ .

## Ex

As a  $\mathbb{Z}$ -module,  $\text{fd}(\mathbb{Q}) = 0$  but  $\text{pd}(\mathbb{Q}) = 1$ .  
Flat resolution:  $\dots \rightarrow 0 \rightarrow \mathbb{Q} \xrightarrow{id} \mathbb{Q} \rightarrow 0$ .

pd lemma Let  $A \in \text{Mod-}R$ . TFAE

i)  $\text{pd}(A) \leq d$

ii)  $\text{Ext}_R^n(A, B) = 0 \quad \forall n > d, \forall B$

iii)  $\text{Ext}_R^{d+1}(A, B) = 0 \quad \forall B$

iv) If  $0 \rightarrow M_d \rightarrow P_{d-1} \rightarrow P_{d-2} \rightarrow \dots \rightarrow P_1 \rightarrow P_0 \rightarrow A \rightarrow 0$  is any resolution with the  $P_i$  projective, then  $M_d$  is projective.

Dimension shifting

If  $0 \rightarrow M_m \rightarrow P_m \rightarrow P_{m-1} \rightarrow \dots \rightarrow P_0 \rightarrow A \rightarrow 0$  is exact with the  $P_i$  projective then  $L_{m+1}F(A) \cong \text{Ker}(F(M_m) \rightarrow F(P_m))$ .

Fact  $A$  is a projective  $R$  module  $\Leftrightarrow \text{Ext}_R^i(A, B) = 0 \quad \forall B$ .

Proof of pd lemma

(4)  $\Rightarrow$  (1) obvious, (2)  $\Rightarrow$  (3) obvious

(1)  $\Rightarrow$  (2) Let  $n > d$ . Since  $\text{pd}(A) \leq d$ , there exists a projective resolution  $\dots \rightarrow 0 \rightarrow P_d \rightarrow \dots \rightarrow P_1 \rightarrow P_0 \rightarrow A \rightarrow 0$  of  $A$ . Calculate  $\text{Ext}_R^n(A, B)$  via  $0 \rightarrow \text{Hom}(P_0, B) \rightarrow \dots \rightarrow \text{Hom}(P_d, B) \rightarrow 0 \rightarrow \dots$ , so  $\text{Ext}_R^n(A, B) = 0/0 = 0$ .

(3)  $\Rightarrow$  (4) Let  $0 \rightarrow M_d \rightarrow P_{d-1} \rightarrow P_{d-2} \rightarrow \dots \rightarrow P_1 \rightarrow P_0 \rightarrow A \rightarrow 0$  be any resolution with the  $P_i$  projective. By Dimension Shifting,  $\text{Ext}^{d+1}(A, B) \cong \text{Ext}^1(M_d, B)$ . Then since  $\text{Ext}^{d+1}(A, B) = 0$  by assumption, by the above fact,  $M_d$  is projective.  $\square$

id lemma Let  $B \in \text{Mod-}R$ . TFAE

i)  $\text{id}(B) \leq d$

ii)  $\text{Ext}_R^n(A, B) = 0 \quad \forall n > d \quad \forall A$

iii)  $\text{Ext}_R^{d+1}(A, B) = 0 \quad \forall A$

iv) if  $0 \rightarrow B \rightarrow E^0 \rightarrow \dots \rightarrow E^{d-1} \rightarrow M^d \rightarrow 0$  is any resolution with the  $E^i$  injective, then  $M^d$  is injective.

fd lemma Let  $A \in \text{Mod-}R$ . TFAE

i)  $\text{fd}(A) \leq d$

ii)  $\text{Tor}_n^R(A, B) = 0 \quad \forall n > d \quad \forall B \in R\text{-Mod}$

iii)  $\text{Tor}_{d+1}^R(A, B) = 0 \quad \forall B \in R\text{-Mod}$

iv) If  $0 \rightarrow M_d \rightarrow F_{d-1} \rightarrow \dots \rightarrow F_0 \rightarrow A \rightarrow 0$  is a res with the  $F_i$  flat, then  $M_d$  is also flat.

lem  $B \in R\text{-Mod}$  is injective  $\Leftrightarrow \text{Ext}^1(R/I, B) = 0 \quad \forall$  left ideals  $I \subseteq R$ .

Global Dimension Theorem Let  $R$  be a ring. The following are equal:

i)  $\sup\{\text{id}(B) \mid B \in \text{Mod-}R\}$

ii)  $\sup\{\text{pd}(A) \mid A \in \text{Mod-}R\}$

iii)  $\sup\{\text{pd}(R/I) \mid I \text{ is a right ideal of } R\}$

iv)  $\sup\{d \mid \text{Ext}_R^d(A, B) \neq 0 \text{ for some } A, B \in \text{Mod-}R\}$

Def This number is the (right) global dimension of  $R$ .

Proof

pd & id lemmas  $\Rightarrow \sup\{\text{pd}(A) \mid A \in \text{Mod-}R\} = d \Leftrightarrow \text{Ext}_R^{d+1}(A, B) = 0 \quad \forall A, B \in \text{Mod-}R$   
 $\Leftrightarrow \sup\{\text{id}(B) \mid B \in \text{Mod-}R\} = d \Leftrightarrow \sup\{d \mid \text{Ext}_R^d(A, B) \neq 0 \text{ for some } A, B \in \text{Mod-}R\} = d$

If  $\sup\{\text{pd}(R/I) \mid I \subseteq R\} = \infty$ , we are done, so assume  $\sup\{\text{pd}(R/I)\} = d < \infty$   
so  $\text{Ext}_R^{d+1}(R/I, B) = 0 \quad \forall B \in \text{Mod-}R$ . Assume for contradiction that  $\text{id}(B) > d$  for some  $B \in \text{Mod-}R$ . For this  $B$ , choose a resolution  $0 \rightarrow B \rightarrow E^0 \rightarrow E^1 \rightarrow \dots \rightarrow E^{d-1} \rightarrow M \rightarrow 0$  with the  $E^i$  injective. But  $\forall I, 0 = \text{Ext}_R^{d+1}(R/I, B) \cong \text{Ext}_R^1(R/I, M)$ , so by the lemma,  $M$  is injective so  $\text{id}(B) \neq d$ , so  $\sup\{\text{id}(B) \mid B \in \text{Mod-}R\} \leq \sup\{\text{pd}(R/I) \mid I \text{ is a right ideal of } R\}$ . But  $R/I \in \text{Mod-}R$  so  $\sup\{\text{pd}(A) \mid A \in \text{Mod-}R\} \geq \sup\{\text{pd}(R/I) \mid I \subseteq R\}$ , so all these numbers are equal.  $\square$

Ex  $R = \mathbb{K}[x]/(x^2)$  has  $\text{gl dim}(R) = \infty$ , e.g.  $\exists$  the infinite resolution  
 $\dots \xrightarrow{x} \mathbb{K}[x]/(x^2) \xrightarrow{x} \mathbb{K}[x]/(x^2) \rightarrow \mathbb{K} \rightarrow 0$

Tor-dimension Theorem Let  $R$  be a ring. The following numbers are equal.  $\text{?}$

- i)  $\sup\{\text{fd}(A) \mid A \in \text{Mod-}R\}$
- ii)  $\sup\{\text{fd}(R/J) \mid J \text{ is a right ideal of } R\}$
- iii)  $\sup\{\text{fd}(B) \mid B \in R\text{-Mod}\}$
- iv)  $\sup\{\text{fd}(R/I) \mid I \text{ is a left ideal of } R\}$
- v)  $\sup\{d \mid \text{Tor}_d^R(A, B) \neq 0 \text{ for some } R\text{-modules } A, B\}$

Def

This common number is called the Tor dimension (or weak dimension) of  $R$ .

Ex

Every field has global & Tor dimension zero.

$$\text{gl dim}(\mathbb{Z}) = 1 = \text{Tor dim}(\mathbb{Z})$$

For  $R =$  infinite product of fields,  $\text{Tor dim}(R) = 0$  but  $\text{gl dim}(R) > 0$ .

Rmk

Since projective  $\Rightarrow$  flat,  $\text{fd}(A) \leq \text{pd}(A) \forall A \in \text{Mod-}R$ , so taking supremums  $\Rightarrow$   
 $\text{Tor-dim}(R) \leq \text{rgl dim}(R)$

Prop Let  $R$  be right Noetherian. Then

- i)  $\text{fd}(A) = \text{pd}(A) \forall A \in \text{mod-}R$  (i.e. finitely generated  $R$ -modules)
- ii)  $\text{Tor-dim}(R) = \text{r. gl. dim}(R)$
- iii) If  $R$  is also left Noetherian, then  $\text{r. gl. dim}(R) = \text{l. gl. dim}(R)$ .

Def  $R$  is (right) Noetherian if every (right) ideal is finitely generated, i.e. if every module  $R/I$  is finitely presented.

## 4.2 Rings of small dimension

Def

A ring  $R$  is (right) semisimple if every right ideal is a direct summand of  $R$ .  
( $\Leftrightarrow$ )  $R$  is the direct sum of its minimal ideals).

### Wedderburn-Artin Theorem

Let  $R$  be a semisimple ring. Then  $R \cong \prod_{i=1}^r R_i$ ,  $R_i = \text{Mat}_{n_i}(D_i)$ , where the  $D_i$  are division rings.

### Consequence

- Right semisimple is the same as left semisimple
- Every semisimple ring is left & right Noetherian.

### Note

simple  $\not\Rightarrow$  semisimple, e.g. first Weyl algebra

### Maschke's Theorem

Let  $G$  be a finite group s.t.  $\text{char}(K) \nmid |G|$ . Then  $KG$  is semisimple.

Fact Every finitely presented flat  $R$ -module  $M$  is projective.

Thm Let  $R$  be a ring. TFAE (for ' $R$ -module' = left or right module)

- $R$  is semisimple
- $R$  has (left and/or right) global dimension 0
- Every  $R$ -module is projective
- Every  $R$ -module is injective
- $R$  is Noetherian, and every  $R$ -module is flat
- $R$  is Noetherian, and has Tor dimension 0.

Proof (Already shown (ii)  $\Leftrightarrow$  (iii)  $\Leftrightarrow$  (iv))

$R$  semisimple  $\Leftrightarrow$  every ses  $0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$  splits  $\Leftrightarrow \text{pd}(R/I) = 0 \forall$

(right/left) ideals  $I$  so (ii)  $\Leftrightarrow$  (i). (v)  $\Leftrightarrow$  (vi) by definition. (i)  $\Rightarrow$  (v), (iii)  $\Rightarrow$  (v)

Assume (v). Let  $I$  be an ideal of  $R$ . Then  $R/I$  is finitely presented & flat by assumption.

Therefore  $R/I$  is projective, so  $R \rightarrow R/I$  splits, and  $I$  is a direct summand of  $R$ , i.e.  $R$  is semisimple.  $\square$

Def

A ring  $R$  is quasi-Frobenius if it is (left & right) Noetherian, and  $R$  is an injective (left & right)  $R$ -module.

Note This property is categorical, so is preserved by Morita equivalence

Ex  $\mathbb{Z}/n\mathbb{Z}$  is quasi-Frobenius

Ex Every semisimple ring is quasi-Frobenius.

Thm Let  $R$  be a ring. TFAE.

- i)  $R$  is quasi-Frobenius
- ii) Every projective right  $R$ -module is injective
- iii) Every injective right  $R$ -module is projective
- iv) Every projective left  $R$ -module is injective
- v) Every injective left  $R$ -module is projective.

Fact  $R$  quasi Frobenius  $\Rightarrow$   $R$  semisimple or  $\text{gldim } R = \infty$ .

Def

A Frobenius algebra over a field  $\mathbb{K}$  is a finite dimensional algebra  $R$  s.t.  $R \cong \text{Hom}_{\mathbb{K}}(R, \mathbb{K})$  as (right)  $R$ -modules.

Ex Frobenius algebras are quasi-Frobenius.

Ex All finite dimensional Hopf algebras are Frobenius algebras.

If  $\mathbb{K}G$  is not semisimple (i.e.  $\text{char}(\mathbb{K}) \mid |G|$ ), what can we say about  $\mathbb{K}G$ ?

Prop

If  $G$  is a finite group,  $\mathbb{K}G$  is a Frobenius algebra.

(sketch)

Proof let  $R = \mathbb{K}G$ .

$\dim_{\mathbb{K}}(\mathbb{K}G) = |G| < \infty$ . wts  $\mathbb{K}G \cong \text{Hom}_{\mathbb{K}}(\mathbb{K}G, \mathbb{K})$  as right  $R$ -modules.

Define  $f: R \rightarrow \mathbb{K}$  where  $e \in G$  is the identity element.

$$\sum_{g \in G} g g^{-1} \mapsto c_e$$

Define  $\alpha: R \rightarrow \text{Hom}_{\mathbb{K}}(R, \mathbb{K})$ , where  $\alpha(r): R \rightarrow \mathbb{K}$ .

$$r \mapsto \alpha(r)$$

$$x \mapsto f(rx)$$

Check:  $\alpha$  is an  $R$ -homomorphism.

Injective: Suppose  $\alpha(r) = 0$  for  $r = \sum_{g \in G} r_g g$ . Then since each  $r_g = f(r g^{-1}) = \alpha(r)(g^{-1}) = 0$ ,  $r = 0$ .

Surjective:  $\dim_{\mathbb{K}} R = \dim_{\mathbb{K}}(\text{Hom}_{\mathbb{K}}(R, \mathbb{K}))$

Def

A ring  $R$  is von Neumann regular if  $\forall a \in R \exists x \in R$  st.  $axa = a$ .

Ex An infinite product of fields is von Neumann regular ( $\Rightarrow$  not every von Neumann regular ring is semisimple).

Ex If  $V$  is a  $\mathbb{K}$ -vector space,  $R = \text{End}_{\mathbb{K}}(V)$  is von Neumann regular.  
 $R$  is semisimple  $\Leftrightarrow \dim_{\mathbb{K}}(V) < \infty$ .

Thm let  $R$  be a ring. TFAE

i)  $R$  is von Neumann regular

ii)  $R$  has Tor dimension 0

iii) Every  $R$ -module is flat

iv)  $R/I$  is projective  $\forall$  finitely generated ideals  $I$ .

Rmk

Since  $\text{Tordim}(R) \leq \text{gl dim}(R)$ , Noetherian + vN regular  $\Rightarrow$  semisimple.

Def A ring  $R$  is (right) hereditary if every right ideal is projective.

Rmk semisimple  $\Rightarrow$  hereditary

Ex The path algebra of a quiver is left hereditary.

Thm

A ring  $R$  is right hereditary  $\Leftrightarrow$  r. gl dim  $(R) \leq 1$ .

Proof

Use  $0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$

### 4.3 Change of Rings Theorems (dimensions of polynomial rings)

#### General Change of Rings Theorem

Let  $f: R \rightarrow S$  be a ring homomorphism, and let  $A$  be an  $S$ -module. Then (as an  $R$ -module),  $\text{pd}_R(A) \leq \text{pd}_S(A) + \text{pd}_R(S)$ .

#### 1<sup>st</sup> change of Rings Thm

Let  $x \in Z(R)$  (centre) be a nonzerodivisor. If  $A$  is a  $R/x$ -module with  $\text{pd}_{R/x}(A) < \infty$ , then  $\text{pd}_R(A) = 1 + \text{pd}_{R/x}(A)$ .

#### 2<sup>nd</sup> Change of Rings Thm

Let  $x \in Z(R)$  be a nonzerodivisor. If  $A$  is an  $R$ -module and  $x$  is a nonzerodivisor on  $A$  (i.e.  $a \neq 0 \Rightarrow xa \neq 0$ ), then  $\text{pd}_R(A) \geq \text{pd}_{R/x}(A/xA)$ .

Cor  $\text{pd}_{R[x]}(A[x]) = \text{pd}_R(A) \quad \forall R$ -modules  $A$ , for  $A[x] := R[x] \otimes_R A$  an  $R[x]$ -module.

#### Thm

$$\text{gl dim}(R[x_1, \dots, x_n]) = n + \text{gl dim}(R)$$

#### Cor

If  $K$  is a field then  $\text{gl dim}(K[x_1, \dots, x_n]) = n$



Fact  $G(A) = 0 \Rightarrow \text{Hom}_R(K, A) \neq 0$

Thm

If  $R$  is a local ring and  $A \neq 0$  is a fin gen  $R$ -module, then every maximal  $A$ -sequence has length  $G(A)$ , and  $G(A)$  is the smallest  $n$  s.t.  $\text{Ext}_R^n(K, A) \neq 0$ .

Thm

If  $R$  is a local ring and  $A$  is a fin gen  $R$ -module, then  $\text{id}(A) \leq d \Leftrightarrow \text{Ext}_R^n(K, A) = 0 \forall n > d$ .

Cor

$\text{id}(A)$  is the largest  $n$  s.t.  $\text{Ext}_R^n(K, A) \neq 0$ .

Prop

If  $R$  is a local ring with residue field  $K$ , then  $\forall$  fin gen  $R$ -modules  $A$  and  $\forall d \in \mathbb{Z}$ ,  $\text{pd}(A) \leq d \Leftrightarrow \text{Tor}_d^R(A, K) = 0$ , so  $\text{pd}(A)$  is the largest  $d$  s.t.  $\text{Tor}_d^R(A, K) \neq 0$ .

Cor

If  $R$  is a local ring, then  $\text{gl dim}(R) = \text{pd}_R(R/\mathfrak{m})$

Proof  $\text{pd}(R/\mathfrak{m}) \leq \text{gl dim}(R) = \sup\{\text{pd}(R/\mathfrak{p})\} \leq \text{fd}(R/\mathfrak{m}) \leq \text{pd}(R/\mathfrak{m})$

Grade 0 Lemma

If  $R$  is local and  $G(R) = 0$  (i.e. every element  $\notin \mathfrak{m}$  is a zero divisor on  $R$ ) then  $\forall$  fin gen  $R$ -modules  $A$ , either  $\text{pd}(A) = 0$  or  $\text{pd}(A) = \infty$ .

Thm

A local ring  $R$  is regular  $\Leftrightarrow \text{gl dim}(R) < \infty$ .

In this case,  $G(R) = \text{dim}(R) = \text{emb dim}(R) = \text{gl dim}(R) = \text{pd}_R(K)$

Cor

A regular ring is Cohen Macaulay

Thm

Every regular local ring is a UFD.

Motivation For an algebraic variety  $X$ , a point  $x \in X$  is smooth  $(\Leftrightarrow)$   
 $\mathcal{O}_{X,x}$  is regular.

Cor

Let  $R$  be a regular local ring and  $\mathfrak{p} \subseteq R$  any prime ideal.  
Then the localisation  $R_{\mathfrak{p}}$  is also a regular local ring.

Remark

The non-homological proof of this is long and difficult.

Proof

$R_{\mathfrak{p}} = S^{-1}R$  for  $S = R - \mathfrak{p}$  a multiplicative set.

Considering an  $S^{-1}R$ -module  $A$  as an  $R$ -module,  $\exists$  a projective resolution  
 $P_{\bullet} \rightarrow A$  of length  $\leq \text{gldim}(R)$ .

Since  $S^{-1}R$  is a flat  $R$ -module and  $S^{-1}A = A$ ,  $S^{-1}P_{\bullet} \rightarrow A$  is a projective  
 $S^{-1}R$ -module resolution of length  $\leq \text{gldim}(R)$ , which is finite since  
 $R$  is regular. Therefore  $\text{gldim}(R_{\mathfrak{p}}) < \infty$ , so  $R_{\mathfrak{p}}$  is regular.