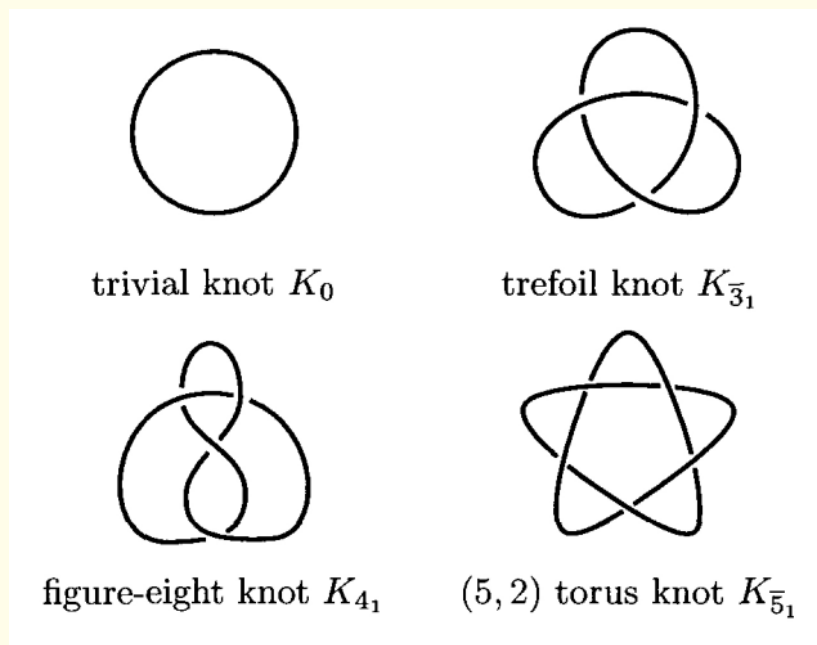


# Knots, braids and polynomial invariants

**DEF:** a **KNOT** is the image of a smooth embedding  $S^1 \longrightarrow \mathbb{R}^3$  (or  $S^3$ ).

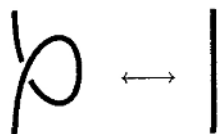
A **LINK** with  $n$  components is the image of  $\underbrace{S^1 \sqcup \dots \sqcup S^1}_n$



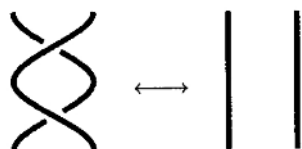
idea: classify Knots and links up to isotopy  $\rightsquigarrow$  find isotopy invariants

**THM:** let  $L, L'$  be two links with diagrams  $\Delta, \Delta'$ . Then  $L$  is isotopic to  $L'$  iff  $\Delta$  and  $\Delta'$  are related by a sequence of isotopies of  $\mathbb{R}^2$  and Reidemeister moves

The RI move:



The RII move:



The RIII move:

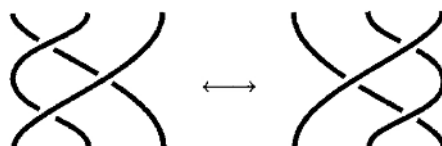
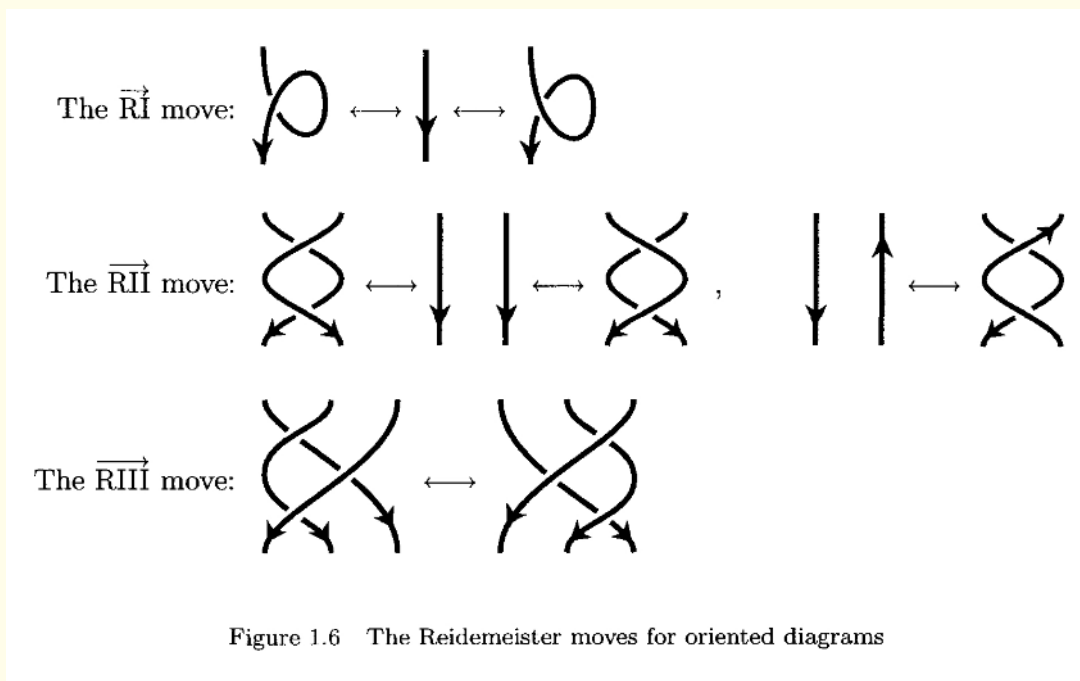


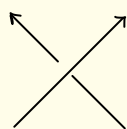
Figure 1.3 The Reidemeister moves

Oriented version:

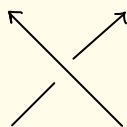


## Linking number

Define positive and negative crossings as follows



positive

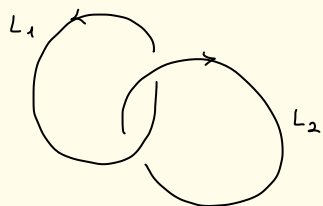


negative

The **LINKING NUMBER** of two components  $L_1$  and  $L_2$  of an oriented link with diagrams  $D_1$  and  $D_2$  is:

$$\text{LK}(L_1, L_2) := \frac{1}{2} ( (\# \text{ pos. crossings of } D_1 \text{ and } D_2) - (\# \text{ neg. crossings of } D_1 \text{ and } D_2) )$$

**PROP:** the linking number is an isotopy invariant of oriented links



$$\text{LK}(L_1, L_2) = \frac{1}{2} ( 2 + 0 ) = 1$$

Note: the linking number can also be defined using:

- intersection number of  $L_2$  with Seifert surface of  $L_1$
- homology class of  $L_2$  in  $H_1(S^3 \setminus L_1; \mathbb{Z})$

# JONES POLYNOMIAL

Given a link diagram  $D$  in  $\mathbb{R}^2$ , the KAUFFMAN BRACKET  $\langle D \rangle \in \mathbb{Z}[A, A^{-1}]$  is defined recursively as follows:

$$\langle \text{crossing} \rangle = A \langle \text{positive crossing} \rangle + A^{-1} \langle \text{negative crossing} \rangle$$

$$\langle \bigcirc D \rangle = (-A^2 - A^{-2}) \langle D \rangle$$

$\langle \emptyset \rangle = 1$      $\nabla$  we will use the convention  $\langle \bigcirc \rangle = -A^2 - A^{-2}$  and not  $\langle \bigcirc \rangle = 1$   $\nabla$

ex:  $\langle \underbrace{\bigcirc \sqcup \dots \sqcup \bigcirc}_m \rangle = (-A^2 - A^{-2})^m$   
m copies

ex:

$$\langle \text{trefoil} \rangle = A \langle \text{trefoil} \rangle + A^{-1} \langle \text{trefoil} \rangle$$

$$= A^2 \langle \text{trefoil} \rangle + \langle \text{trefoil} \rangle + \langle \text{trefoil} \rangle + A^{-2} \langle \text{trefoil} \rangle$$

$$= A^3 \langle \text{trefoil} \rangle + A \langle \text{trefoil} \rangle + A \langle \text{trefoil} \rangle + A^{-1} \langle \text{trefoil} \rangle$$

$$+ A \langle \text{trefoil} \rangle + A^{-1} \langle \text{trefoil} \rangle + A^{-1} \langle \text{trefoil} \rangle + A^{-3} \langle \text{trefoil} \rangle.$$

$$\approx \langle \text{trefoil} \rangle = \underline{(-A^2 - A^{-2})} (-A^5 - A^{-3} + A^{-7})$$

Invariance under Reidemeister moves:

• R2 ✓ and R3 ✓

• R1:

$$\langle \text{R1 move} \rangle = A \langle \text{R1 move} \rangle + A^{-1} \langle \text{R1 move} \rangle = -A^3 \langle \text{R1 move} \rangle.$$

and similarly

$$\langle \text{R1 move} \rangle = -A^{-3} \langle \text{R1 move} \rangle$$

We do not have invariance under R1 move.

**SKIN:** the Kauffman bracket is characterised by the skein relation

$$\langle \diagdown \diagup \rangle = A \langle \rangle \langle \rangle + A^{-1} \langle \cup \rangle$$

**DEF:** for an oriented diagram  $\Delta$  define the **WRITHE** of  $\Delta$  as:

$$w(\Delta) := (\# \text{ pos. crossings}) - (\# \text{ neg. crossings})$$

**THM:**  $L$  oriented link with diagram  $\Delta$ . Then

$$(-A^3)^{-w(\Delta)} \langle \Delta \rangle$$

is an isotopy invariant.

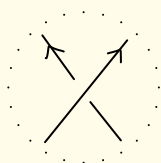
**DEF:** the **JONES POLYNOMIAL** of  $L$  is

$$V_L(t) := \underbrace{(-A^2 - A^{-2})^{-1}}_{A^2 = t^{-1/2}} (-A^3)^{-w(\Delta)} \langle \Delta \rangle \Big|_{A^2 = t^{-1/2}} \in \mathbb{Z}[t^{1/2}, t^{-1/2}]$$

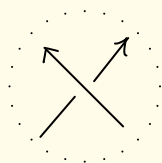
It satisfies the following skein relation:

$$t^{-1} V_{L_+}(t) - t V_{L_-}(t) = (t^{1/2} - t^{-1/2}) V_{L_0}(t)$$

where  $L_+$ ,  $L_-$ ,  $L_0$  differ locally as follows:



$L_+$



$L_-$



$L_0$

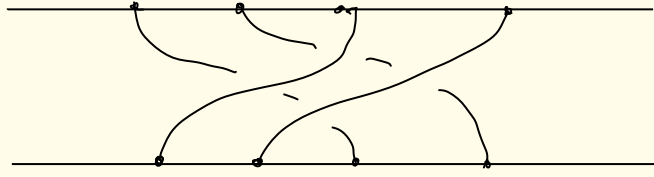
ex: for the trefoil we get

$$V_L(t) = (-A^2 - A^{-2})^{-1} (-A^3)^{-3} (-A^5 - A^{-3} + A^{-7}) \Big|_{A^2 = t^{-1/2}} =$$

$$= t + t^3 - t^4$$

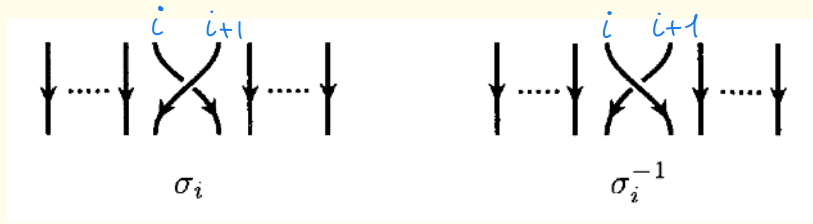
# BRAIDS

a BRAID in  $m$  strands is a union of  $m$  strands embedded in  $\mathbb{R}^2 \times [0, 1]$



Denote  $B_m$  the braid group in  $m$  strands, it has the following presentation

generators:  $\sigma_1, \dots, \sigma_{m-1}$



relations:  $\sigma_i \sigma_j = \sigma_j \sigma_i \quad |i-j| \geq 2$

$\sigma_{i+1} \sigma_i \sigma_{i+1} = \sigma_i \sigma_{i+1} \sigma_i \quad \forall i = 1 \dots m-2$

**THM (Alexander):** any link is isotopic to the closure of a braid

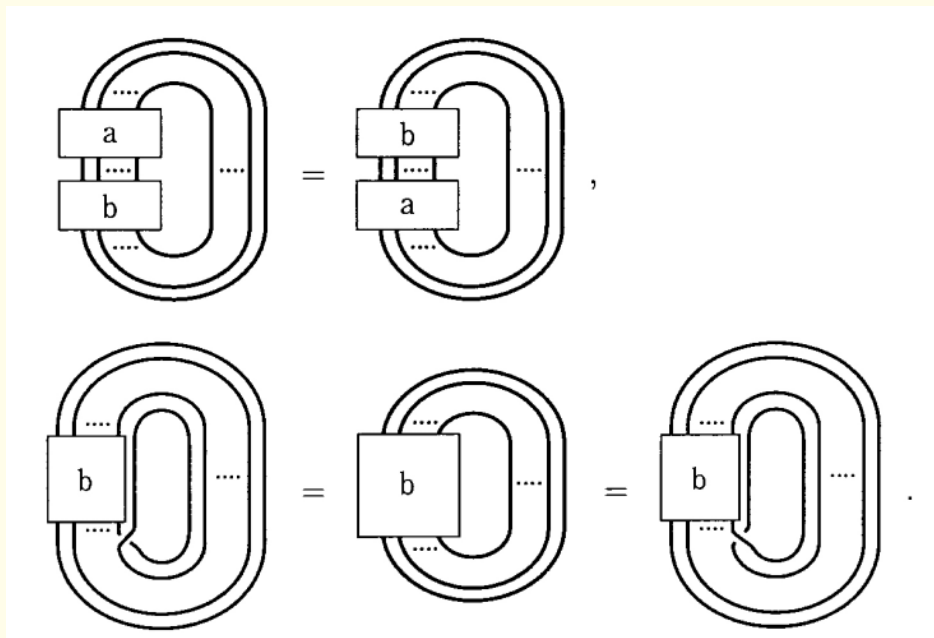
$$\bigcup_{m=1}^{\infty} B_m \longrightarrow \{\text{links}\} / \text{isotopy}$$

Who is the Kernel?

**THM (Markov):** let  $b, b'$  be braids with closure  $L$  and  $L'$ . Then  $L \sim L'$  if and only if  $b$  is related to  $b'$  by a sequence of Markov moves

$$\text{MI: } ab \longleftrightarrow ba \quad \forall a, b \in B_m$$

$$\text{MII: } b \sigma_m \longleftrightarrow b \longleftrightarrow b \sigma_m^{-1} \quad \forall b \in B_m$$



## REPRESENTATION via R MATRICES

Trace:  $V$   $\mathbb{C}$ -vec. space,  $V^*$  dual

$$V^* \otimes V \cong \text{End}(V) \quad \text{with} \quad f \otimes x \longmapsto (y \longmapsto f(y) \cdot x)$$

$$\text{trace}: \text{End}(V) \xrightarrow{\sim} V^* \otimes V \xrightarrow{\text{ev}} \mathbb{C}$$

What if we consider a tensor?

$$\text{trace}_1: \text{End}(V_1 \otimes V_2) \cong V_2^* \otimes V_1^* \otimes V_1 \otimes V_2 \xrightarrow{\text{contraction}} V_2^* \otimes V_2 \cong \text{End}(V_2)$$

$$\text{trace}_2: \text{End}(V_1 \otimes V_2) \cong V_2^* \otimes V_1^* \otimes V_1 \otimes V_2 \xrightarrow{\text{contraction}} V_1^* \otimes V_1 \cong \text{End}(V_1)$$

Consider the symmetric group  $S_m$ , there is a surjective hom.

$$B_m \twoheadrightarrow S_m$$

$$\sigma_i \longmapsto s_i = (i \ i+1)$$

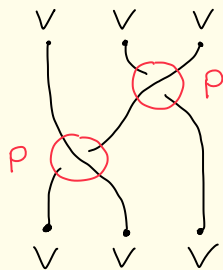
Let  $\Psi_m: S_m \longrightarrow \text{End}(V^{\otimes m})$  be the representation given by

$$\Psi_m(s_i) = (\text{id}_V)^{\otimes(i-1)} \otimes P \otimes (\text{id}_V)^{\otimes(m-i-1)}$$

with  $P: x \otimes y \longmapsto y \otimes x$ . Then we have a representation

$$\tilde{\Psi}_m: B_m \longrightarrow \text{End}(V^{\otimes m})$$

idea :



What if we change  $P$ ?

$$\begin{aligned} \phi_m : \mathcal{B}_m &\longrightarrow \text{End}(V^{\otimes m}) \\ \sigma_i &\longmapsto (\text{id}_V)^{\otimes(i-1)} \otimes R \otimes (\text{id}_V)^{\otimes(m-i-1)} \end{aligned}$$

to be a rep. we need :

- $\phi_m(\sigma_i \sigma_j) = \phi_m(\sigma_j \sigma_i) \quad |i-j| \geq 2$
- $\phi_m(\sigma_i \sigma_{i+1} \sigma_i) = \phi_m(\sigma_{i+1} \sigma_i \sigma_{i+1}) \quad \forall i = 1 \dots m-2$

a. always satisfied

b.  $R$  must satisfy the relation

$$(R \otimes \text{id})(\text{id} \otimes R)(R \otimes \text{id}) = (\text{id} \otimes R)(R \otimes \text{id})(\text{id} \otimes R)$$

$$V \otimes V \otimes V \longrightarrow V \otimes V \otimes V$$

so  $R$  is a solution of the YANG-BAXTER EQUATION (YBE), called R-MATRIX

ex:  $V$  2-dim.,  $R \in \text{End}(V \otimes V)$

basis  $\{e_0 \otimes e_0, e_0 \otimes e_1, e_1 \otimes e_0, e_1 \otimes e_1\}$

$$R = \begin{pmatrix} t^{1/2} & & & \\ & 0 & t & \\ & t & t^{1/2} - t^{3/2} & \\ & & & t^{1/2} \end{pmatrix} \in \text{End}(V^{\otimes 2})$$

Induces a representation  $\mathcal{B}_m \xrightarrow{\Psi_m} \text{End}(V^{\otimes m})$ . Consider the trace :

• MI satisfied

• MII? we need  $\text{trace}_2 R^\pm = 1 \rightsquigarrow$  fails

Modify using

$$h := \begin{pmatrix} t^{-1/2} & 0 \\ 0 & t^{1/2} \end{pmatrix} \in \text{End}(V)$$

we get  $\text{trace}_2((\text{id}_V \otimes R) R^\pm) = \text{id}_V$ .

**THM.** let  $L$  be an oriented link and  $b$  a braid whose closure is isotopic to  $L$ .

Then, for the above  $\Psi_m$  and  $R$ , we have that

$$\text{trace}(R^{\otimes m} \cdot \Psi_m(b))$$

is invariant under Markov moves.

In particular, it is equal to  $(t^{1/2} + t^{-1/2})$  times  $V_L(t)$ .